

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

839.425

U.S. PATENT NO. (If known, see 37 C.F.R. 1.5)

09/554794

INTERNATIONAL APPLICATION NO.

PCT/JP99/05092

INTERNATIONAL FILING DATE

17 September 1999 (17.09.99)

PRIORITY DATE CLAIMED

18 September 1998

(18.09.98)

TITLE OF INVENTION

ELECTRODE MATERIAL FOR ANODE OF RECHARGEABLE LITHIUM BATTERY, ELECTRODE STRUCTURAL BODY USING SAID ELECTRODE MATERIAL,  
RECHARGEABLE LITHIUM BATTERY USING SAID ELECTRODE STRUCTURAL BODY, PROCESS FOR PRODUCING SAID ELECTRODE STRUCTURAL BODY, AND  
PROCESS FOR PRODUCING SAID RECHARGEABLE LITHIUM BATTERY

APPLICANT(S) FOR DO/EO/US

SOICHIRO KAWAKAMI ET AL.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the application time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Unexecuted declaration for information purposes, Notice Informing Applicant of The Communication of The International Application To The Designated Offices, PCT Published Application WO 00/17948 (first page) and 40 Sheets of Formal Drawings

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416 Rec'd PCT/PTO 18 MAY 2000

- 17.
- ☒
- The following fees are submitted:

**Basic National Fee (37 CFR 1.492(a)(1)-(5):**

Search Report has been prepared by the EP or JPO ..... \$840.00  
 International preliminary examination fee paid to USPTO  
 (37 CFR 1.492(a)(1)) ..... \$670.00  
 No international preliminary examination fee paid to USPTO (37 CFR 1.492  
 (a)(1)) but international search fee paid to USPTO (37 CFR 1.492(a)(2)) ..... \$690.00  
 Neither international preliminary examination fee (37 CFR 1.492(a)(1))  
 nor international search fee (37 CFR 1.492(a)(2)) paid to USPTO ..... \$970.00  
 International preliminary examination fee paid to USPTO (37 CFR 1.492  
 (a)(4)) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months  
 from the earliest claimed priority date (37 CFR 1.492(e)).

\$

Claims	Number Filed	Number Extra	Rate
Total Claims	63-20 =	43	X \$18.00
Independent Claims	1- 3 =	0	X \$78.00
Multiple dependent claim(s) (if applicable)			+ \$260.00

\$774.00

\$

\$260.00

**TOTAL OF ABOVE CALCULATIONS =**

\$1,874.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement  
 must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

\$

**SUBTOTAL =**

\$1,874.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20  
☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$1,874.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

**TOTAL FEES ENCLOSED =**

\$1,874.00

**Amount to be:****refunded**

\$

**charged**

\$

1. ☒ A check in the amount of \$1,874.00 to cover the above fees is enclosed.  
 2. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of  
 this sheet is enclosed.  
 3. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
 Deposit Account No. 06-1205. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

SIGNATURE

Elizabeth F. Holowacz

NAME

42,667

REGISTRATION NUMBER

H:meg